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| APPLICATION NO.                       | FILING DATE               | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------------------------|---------------------------|----------------------|---------------------|------------------|
| 10/678,935                            | 10/03/2003                | Matthew L. Cooper    | FXPL-01085US0       | 1199             |
| 23910<br>FLIESLER ME                  | 7590 06/02/200<br>YER LLP | EXAMINER             |                     |                  |
| 650 CALIFORI                          |                           | AKHAVANNIK, HADI     |                     |                  |
| 14TH FLOOR<br>SAN FRANCISCO, CA 94108 |                           |                      | ART UNIT            | PAPER NUMBER     |
|                                       |                           |                      | 2624                |                  |
|                                       |                           |                      |                     |                  |
|                                       |                           |                      | MAIL DATE           | DELIVERY MODE    |
|                                       |                           |                      | 06/02/2009          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|   | Application No.   | Applicant(s)   |
|---|---|--|
|   | 10/678,935  | COOPER ET AL.  |
| Office Action Summary   | Examiner  | Art Unit   |
|   | HADI AKHAVANNIK   | 2624   |
| The MAILING DATE of this communication appeariod for Reply  | pears on the cover sheet with the c   | orrespondence address  |
| A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period  - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b). | NATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be tinwill apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE   | N. nely filed the mailing date of this communication. D (35 U.S.C. § 133). |
| Status  |   |  |
| Responsive to communication(s) filed on 23 A     This action is <b>FINAL</b> . 2b) ☑ This     Since this application is in condition for alloware closed in accordance with the practice under B  | s action is non-final.<br>ince except for formal matters, pro   |  |
| Disposition of Claims   |   |  |
| 4) ☐ Claim(s) 1,3-11 and 21-32 is/are pending in the 4a) Of the above claim(s) is/are withdra 5) ☐ Claim(s) 21 and 22 is/are allowed. 6) ☐ Claim(s) 1,3-11 and 23-32 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or   | wn from consideration.  |  |
| Application Papers  |   |  |
| 9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) accomposed and applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine 11.   | cepted or b) objected to by the land drawing(s) be held in abeyance. Section is required if the drawing(s) is objected to by the land drawing(s) is objected to be land drawing(s). | e 37 CFR 1.85(a).<br>jected to. See 37 CFR 1.121(d).                       |
| Priority under 35 U.S.C. § 119  |   |  |
| 12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority document 2. ☐ Certified copies of the priority document 3. ☐ Copies of the certified copies of the priority document application from the International Bureat * See the attached detailed Office action for a list   | ts have been received.<br>ts have been received in Application<br>trity documents have been receive<br>tu (PCT Rule 17.2(a)).   | on No ed in this National Stage  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  | 4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal F 6) Other:  | ate  |

### **DETAILED ACTION**

#### Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4/23/09 has been entered.

# Response to Arguments

The Applicant's arguments with respect to the claims are persuasive. The claims would be allowable if the 101 rejection is overcome.

## Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1, 3-11 and 23-31 are rejected under 35 U.S.C. 101 as not falling within one of the four statutory categories of invention. Supreme Court precedent<sup>1</sup> and recent Federal Circuit decisions<sup>2</sup> indicate that a statutory "process" under 35 U.S.C. 101 must (1) be tied to another statutory category (such as a particular apparatus), or (2)

<sup>&</sup>lt;sup>1</sup> Diamond v. Diehr, 450 U.S. 175, 184 (1981); Parker v. Flook, 437 U.S. 584, 588 n.9 (1978); Gottschalk v. Benson, 409 U.S. 63, 70 (1972); Cochrane v. Deener, 94 U.S. 780, 787-88 (1876).

<sup>&</sup>lt;sup>2</sup> In re Bilski, 88 USPQ2d 1385 (Fed. Cir. 2008).

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transform underlying subject matter (such as an article or material) to a different state or thing. While the instant claim(s) recite a series of steps or acts to be performed, the claim(s) neither transform underlying subject matter nor positively tie to another statutory category that accomplishes the claimed method steps, and therefore do not qualify as a statutory process. For example the method claims do not recite a processor for performing the method steps. There is support for a processor in figure 5.

### Allowable Subject Matter

Claims 21-22 are allowable.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HADI AKHAVANNIK whose telephone number is (571)272-8622. The examiner can normally be reached on 10:30-7:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bhavesh Mehta can be reached on 571-272-7453. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Bhavesh M Mehta/ Supervisory Patent Examiner, Art Unit 2624

HA 6/1/09